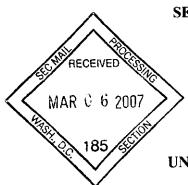
#### FORM D



# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

## FORM D

NOTICE OF SALE OF SECURITIES
PURSUANT TO REGULATION D,
SECTION 4(6), AND/OR
UNIFORM LIMITED OFFERING EXEMPTION

822206

OMB APPROVAL

OMB Number: 3235-0076 Expires: April 30, 2008 Estimated average burden hours per form......1



Name of Offering ( check if this is an ar	nendment and name has char	nged, at	nd indicate change.)				
Common Stock and Warrants to Purchase	Common Stock						
Filing Under (Check box(es) that apply):	☐ Rule 504		Rule 505	Rule 506		Section 4(6)	☐ ULOE
Type of Filing:		×	New Filing			Amendment	
	A. BA	SIC ID	ENTIFICATION DA	TA		ام	2000
1. Enter the information requested about	the issuer			-			. OCESSED
Name of Issuer ( check if this is an ame	ndment and name has change	d, and	indicate change.)			$\vdash$	
IDM Pharma, Inc.							MAR 1 9 2003
Address of Executive Offices	Telephone Nur	nber (l	ncluding Area Cod				
9 Parker, Suite 100, Irvine, CA 92618				(949) 470-475	1		THOMSON
Address of Principal Business Operat (if different from Executive Offices)	Telephone Number (Including Area Code) FINANCIAL						
Brief Description of Business Wireless provisioning and management so	ftware		•				
Type of Business Organization			-				- <u></u>
☑ corporation	Corporation			☐ other (please specify):			
☐ business trust	☐ limited partnership, to be	e forme	d				
Actual or Estimated Date of Incorporation	or Organization:	_		<u>'ear</u> 991			
Tieres of Estimated Sale of Medipolation		•	•		¥	Actual	☐ Estimated
Jurisdiction of Incorporation or Organizati	on: (Enter two-letter U.S.	Postal	Service abbreviation for	or State:			
CN for Canada; FN for other foreign jurisdiction)							DE

#### GENERAL INSTRUCTIONS

#### Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When to File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

#### State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

### ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

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#### A. BASIC IDENTIFICATION DATA Enter the information requested for the following: Each promoter of the issuer, if the issuer has been organized within the past five years; Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer; Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and Each general and managing partner of partnership issuers. Check Boxes Executive Officer ■ Director ☐ Promoter ☐ Beneficial Owner ☐ General and/or that Apply: Managing Partner Full Name (Last name first, if individual) Romet-Lemonne, Jean-Loup, M.D. Business or Residence Address (Number and Street, City, State, Zip Code) c/o IDM Pharma, Inc., 9 Parker, Suite 100, Irvine, CA 92618 Check Boxes Director ☐ Promoter ☐ Beneficial Owner Executive Officer ☐ General and/or that Apply: Managing Partner Full Name (Last name first, if individual) Grégoire, Sylvie, Pharm.D. Business or Residence Address (Number and Street, City, State, Zip Code) c/o IDM Pharma, Inc., 9 Parker, Suite 100, Irvine, CA 92618 Check Boxes ☐ Promoter ☐ Beneficial Owner Executive Officer ☐ Director ☐ General and/or that Apply: Managing Partner Full Name (Last name first, if individual) Duchesne de Lamotte, Hervé Business or Residence Address (Number and Street, City, State, Zip Code) c/o IDM Pharma, Inc., 9 Parker, Suite 100, Irvine, CA 92618 Check Boxes ☐ Promoter ☐ Beneficial Owner ☐ Executive Officer Director ☐ General and/or that Apply: Managing Partner Full Name (Last name first, if individual) Beck, Robert, M.D. Business or Residence Address (Number and Street, City, State, Zip Code) c/o IDM Pharma, Inc., 9 Parker, Suite 100, Irvine, CA 92618 Check Boxes ☐ Promoter ☐ Beneficial Owner ☐ Executive Officer Director ☐ General and/or that Apply: Managing Partner Full Name (Last name first, if individual) Deleage, Jean, Ph.D. Business or Residence Address (Number and Street, City, State, Zip Code) c/o IDM Pharma, Inc., 9 Parker, Suite 100, Irving, CA 92618 Check Boxes ☐ Promoter ☐ Beneficial Owner ☐ Executive Officer **▼** Director ☐ General and/or that Apply: Managing Partner Full Name (Last name first, if individual) Drakeman, Donald L., Ph.D. Business or Residence Address (Number and Street, City, State, Zip Code) c/o IDM Pharma, Inc., 9 Parker, Suite 100, Irvine, CA 92618 Check Boxes ☐ Promoter ☐ Beneficial Owner ☐ Executive Officer **区** Director ☐ General and/or that Apply: Managing Partner Full Name (Last name first, if individual) Grey, Michael G. Business or Residence Address (Number and Street, City, State, Zip Code) c/o IDM Pharma, Inc., 9 Parker, Suite 100, Irvine, CA 92618 Check Boxes ☐ Promoter Beneficial Owner ☐ Executive Officer ☑ Director ☐ General and/or that Apply: Managing Partner

Business or Residence Address (Number and Street, City, State, Zip Code) c/o IDM Pharma, Inc., 9 Parker, Suite 100, Irvine, CA 92618

Full Name (Last name first, if individual)

McKearn, John P., Ph.D.

2. Enter the i	nformation requested for the	following:			
<ul><li>Each be</li><li>Each ex</li></ul>	neficial owner having the pe	ssuer has been organized within ower to vote or dispose, or direc of corporate issuers and of corp of partnership issuers.	t the vote or disposition of, 10%		
Check Boxes that Apply:	Promoter	Beneficial Owner	☐ Executive Officer	Director	General and/or Managing Partner
	t name first, if individual)		1311		
Sanofi-Aventis		nd Street, City, State, Zip Code)			
	France, 75103 Paris, Fran				
Check Boxes that Apply:	☐ Promoter	🗷 Beneficial Owner	☐ Executive Officer	☐ Director	General and/or Managing Partner
-	t name first, if individual)		<u></u>		
	sidence Address (Number an	d Street, City, State, Zip Code)			·
Check Boxes that Apply:	☐ Promoter	Beneficial Owner	☐ Executive Officer	☐ Director	General and/or Managing Partner
Palo Alto Inves					
	Avenue, Palo Alto, CA 94				
that Apply:	☐ Promoter  t name first, if individual)	☐ Beneficial Owner	☐ Executive Officer	Director	☐ General and/or Managing Partner
		d Street, City, State, Zip Code)			
Check Boxes that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director	☐ General and/or Managing Partner
Full Name (Las	t name first, if individual)				
Business or Res	idence Address (Number an	d Street, City, State, Zip Code)	<del></del>		
Check Boxes that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director	General and/or Managing Partner
Full Name (Las	t name first, if individual)				
Business or Res	sidence Address (Number an	d Street, City, State, Zip Code)	· · · · · · · · · · · · · · · · · · ·		
Check Boxes that Apply:	☐ Promoter	Beneficial Owner	☐ Executive Officer	☐ Director	General and/or Managing Partner
Full Name (Las	t name first, if individual)				
Business or Res	idence Address (Number an	d Street, City, State, Zip Code)			
Check Boxes that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	Director	General and/or Managing Partner
	t name first, if individual)				· -

A. BASIC IDENTIFICATION DATA

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					В	. INFORM	ATION AB	OUT OFFE	RING				
1.	Has the is	ssuer sold, or	does the issu	er intend to				-	under ULOE	 Z.		Yes N	o <u>X</u>
2. What is the minimum investment that will be accepted from any individual?								\$ <u>N/A</u>					
3.	3. Does the offering permit joint ownership of a single unit?									o <u>X</u>			
4. Enter the information requested for each person who has been or will be paid or given, directly or indirectly, any commission or similar remuneration for solicitation of purchasers in connection with sales of securities in the offering. If a person to be listed is an associated person or agent of a broker or dealer registered with the SEC and/or with a state or states, list the name of the broker or dealer. If more than five (5) persons to be listed are associated persons of such a broker or dealer, you may set forth the information for that broker or dealer only.													
Full	Name (La	st name first	, if individual	)									
Bus	iness or Re	esidence Add	lress (Number	and Street,	City, State,	Zip Code)			·				
Nan	ne of Asso	ciated Broke	r or Dealer							-			
State	es in Whic	h Person Lis	ted Has Solic	ited or Inten	ds to Solici	t Purchasers	I						
(Ch	eck "All S	tates" or chec	ck individual	States)			,				***************************************	***************************************	All States
[AL	]	[AK]	[AZ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	[HI]	[ID]
[IL]		[IN]	[IA]	[KS]	[KY]	[LA]	(ME)	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]
[M]	<b>[]</b>	[NE]	[NV]	[NH]	[NJ]	NM	[NY]	[NC]	[ND]	[OH]	<b>JOKJ</b>	[OR]	[PA]
[RI]		[SC]	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[VA]	[WV]	[WI]	[WY]	[PR]
Full	Name (La	st name first	, if individual	)									
- D	' D		Ol	104	City State	Tie Code							
Bus	iness or Ke	esidence Add	lress (Number	r and Street,	City, State,	Zip Code)							
Nan	ne of Asso	ciated Broke	r or Dealer										
Stat	es in Whic	h Person Lis	ted Has Solic	ited or Inten	ds to Solici	t Purchasers	;			· · · · · · · · · · · · · · · · · · ·			
(Ch	eck "All S	tates" or chec	ck individual	States)					,				
[AL	.l	[AK]	[AZ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	[HI]	[ID]
[IL]		[IN]	[IA]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]
[MT	ΓĮ	[NE]	[NV]	[NH]	[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]
[RI]	l	[SC]	[SD]	[TN]	{TX}	[UT]	{VT}	[VA]	[VA]	[WV]	[WI]	[WY]	[PR]
Full	Name (La	st name first	, if individual	)									
Bus	iness or R	esidence Ado	iress (Number	r and Street,	City, State	Zip Code)							
Name of Associated Broker or Dealer													
States in Which Person Listed Has Solicited or Intends to Solicit Purchasers													
(Check "All States" or check individual States)													
[AL	,l	[AK]	[AZ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	[HI]	[1D]
[IL]		[IN]	[IA]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MNJ	[MS]	[MO]
[M]	ΓΙ	[NE]	[NV]	[NH]	[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]
[RI]		[SC]	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[VA]	[WV]	[WI]	[WY]	[PR]

	C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND	USE OF PROCEEDS	
1.	Enter the aggregate offering price of securities included in this offering and the total amount already transaction is an exchange offering, check this box $\square$ and indicate in the columns below the amount exchanged.	sold. Enter "0" if answints of the securities offer	er is "none" or "zero." If the
	Type of Security	Aggregate Offering Price	Amount Already Sold
	Debt	\$	
	Equity	\$ <u>12,878,925.90</u>	\$ <u>12,878,9</u> 25.90
	Common Preferred		
	Convertible Securities (including warrants)	\$ 2,555,084.52*	\$ 2,555,084.52*
	Partnership Interests	\$	
	Other (Specify)	\$	\$
	Total	\$ 15,434,010.42*	
	Answer also in Appendix, Column 3, if filing under ULOE.		
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."		
		Number	Aggregate
		Investors	Dollar Amount
			of Purchases
	Accredited Investors	13	\$ <u>15,434,010,42*</u>
	Non-accredited Investors		\$
	Total (for filings under Rule 504 only)		\$
	Answer also in Appendix, Column 4, if filing under ULOE.		
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C - Question 1.		
		Type of	Dollar Amount
		Security	Sold
	Type of Offering		
	Rule 505		\$
	Regulation A		\$
	Rule 504	<del>- ,</del>	\$
	Total		\$
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.		
	Transfer Agent's Fees		s
	Printing and Engraving Costs	E	
	Legal Fees	<u> </u>	\$ <u>150,000.00</u>
	Accounting Fees		**
	Engineering Fees		\$
	Sales Commissions (specify finders' fees separately)	Ξ	<b>□</b> \$

×

\$ <u>300,00</u>

\$ <u>150,300.00</u>

Other Expenses (Identify) Blue Sky Fees

Total .....

<sup>\*</sup>Includes amounts received by the Issuer for the purchase of the warrants to purchase shares of the Issuer's Common stock and amounts receivable by the Issuer upon exercise of such warrants.

C. OFFERING PRICE, NUMBER OF I	NVECTADE EVDENCES AND	HEE OF BROCEEDS	
b. Enter the difference between the aggregate offering price given in rein response to Part C – Question 4.a. This difference is the "adjusted	sponse to Part C - Question 1 an	d total expenses furnished	\$ <u>15,283,710.42</u>
<ol> <li>Indicate below the amount of the adjusted gross proceeds to the issuer u If the amount for any purpose is not known, furnish an estimate and o payments listed must equal the adjusted gross proceeds to the issuer set for</li> </ol>	theck the box to the left of the	estimate. The total of the	Payment To
		Directors, & Affiliates	Others
Salaries and fees		□ s	□ s
Purchase of real estate		□ s	□ s
Purchase, rental or leasing and installation of machinery and equipment		□ s	□ s
Construction or leasing of plant buildings and facilities		□ s	□ s
Acquisition of other businesses (including the value of securities involved in in exchange for the assets or securities of another issuer pursuant to a merger)	□ s		
Repayment of indebtedness	□ <b>\$</b>		
Working capital	□ s	<b>≭</b> \$ <u>15,158,710,42</u>	
Other (specify): The Issuer may use a portion of the proceeds (approximately from certain of its employees and/or consultants	<b>\$</b> \$125,000.00		
		□ s	□ \$
Column Totals		□ s	□ <b>\$</b>
Total Payments Listed (column totals added)	710.42		
D. FED	ERAL SIGNATURE		· · · · · · · · · · · · · · · · · · ·
The issuer had duly caused this notice to be signed by the undersigned duly a an undertaking by the issuer to furnish to the U.S. Securities and Exchange C non-accredited investor pursuant to paragraph (b)(2) of Rule 502.			
Issuer (Print or Type)	Signature		Date
IDM Pharma, Inc.	//////		February 23, 2007
Name of Signer (Print or Type)	Title of Sigger (Print or Type)	·	· · · · · · · · · · · · · · · · · · ·
Jean-Loup Romet-Lemonne	Chief Executive Officer		

## ATTENTION

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)

